



73626

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David P. Gendron, et al. Confirmation No. 3912  
Serial No.: 09/911,846 Examiner: Kim T. Bui  
Filed: July 24, 2001 Art Unit: 3626  
Docket: 750.0030001  
Title: ASSET COMMUNICATION FORMAT WITHIN A COMPUTER NETWORK

**MS AMENDMENT**

Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- Return postcard (1).
- Copy of European Search Report (3 pgs.).
- Supplemental Information Disclosure Statement (2 pgs.).
- Statement Under 37 CFR § 1.97 (e) (1 pg.).
- IDS Form 1449 (1 pg.).

**Customer Number 38356**

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS AMENDMENT Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450, on this 26 day of September, 2005.

Respectfully Submitted,  
David P. Gendron, et al.

By: BROOKS & CAMERON, PLLC  
1221 Nicollet Avenue, Suite 500  
Minneapolis, MN 55403

Atty: Joseph C. Huebsch  
Reg. No.: 42,673

Date:

Shannon L. Day  
Name  
Shannon L. Day  
Signature

Joseph C. Huebsch  
Atty: Joseph C. Huebsch  
Reg. No.: 42,673  
Sept. 26, 2005



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David P. Gendron, et al. Confirmation No.: 3912  
Serial No.: 09/911,846 Examiner: Kim T. Bui  
Filed: July 24, 2001 Group Art Unit: 3626  
Title: ASSET COMMUNICATION FORMAT WITHIN A COMPUTER NETWORK Docket: 750.0030001

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**MS AMENDMENT**

Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants further request that a copy of the 1449 form, initialed by the Examiner to indicate that all listed citations have been considered, be returned with the next official communication.

This statement is submitted under 37 C.F.R. §1.97(c) along with the attached Statement Under 37 C.F.R. 1.97(e).

Applicants submit herewith PTO Form 1449 together with any required copies of patents, publications or other information which Applicants are aware, which Applicants believe may be material to the examination of this application and for which there may be a duty to disclose in accordance 37 C.F.R. §1.56. Enclosed please find a copy of the European Search Report.



The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

**CERTIFICATE UNDER 37 CFR §1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS AMENDMENT Commissioner for Patents, P.O. BOX 1450 Alexandria, VA 22313-1450 on this 26<sup>th</sup> day of September, 2005.

**OR**

"Express Mail" mailing label number:

Date of Deposit:

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 C.F.R. §1.10, and is addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450

Name

Signature

Respectfully Submitted,  
David P. Gendron, et al.

By their Representatives,  
Brooks & Cameron, PLLC  
1221 Nicollet Avenue, Suite 500  
Minneapolis, MN 55403  
(612) 236-0122

By: Joseph C. Huebsch  
Joseph C. Huebsch  
Reg. No. 42,673

Date: Sept. 26, 2005



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David P. Gendron, et al.  
Serial No.: 09/911,846  
Filed: July 24, 2001  
Title: ASSET COMMUNICATION FORMAT WITHIN A COMPUTER NETWORK

Confirmation No.: 3912  
Examiner: Kim T. Bui  
Group Art Unit: 3626  
Docket: 750.0030001

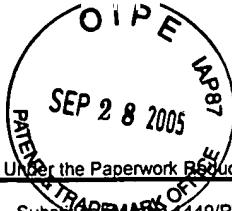
**STATEMENT UNDER 37 C.F.R. §1.97(e)**

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

By: Joseph C. Huebsch  
Joseph C. Huebsch  
Attorney/Agent for Applicant  
Reg. No. 42,673  
Phone: (612) 236-0122

Date: Sept. 26, 2005



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitution-DEMAND 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 1

<i>Complete if Known</i>	
Application Number	09/911,846
Filing Date	July 24, 2001
First Named Inventor	David Pierre Gendron
Art Unit	3626
Examiner Name	Kim T. Bui
Attorney Docket Number	750.0030001

## U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.